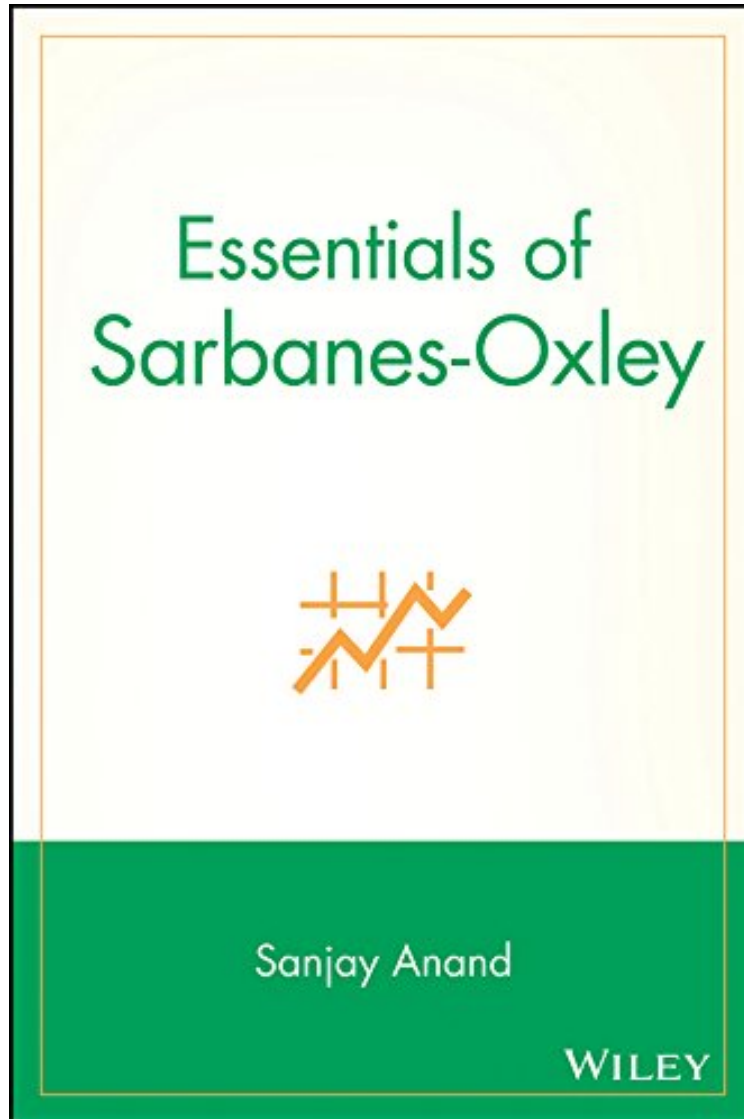


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Essentials of Sarbanes-Oxley (Essentials Series)

Sanjay Anand

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Sanjay Anand : Essentials of Sarbanes-Oxley (Essentials Series) before purchasing it in order to gauge whether or not it would be worth my time, and all praised Essentials of Sarbanes-Oxley (Essentials Series):

1 of 1 people found the following review helpful. Easy to read guide to the Sarbanes-Oxley Act By mp Cangemi Being knowledgeable about the 2002 Sarbanes-Oxley Act is critical to all in the management of publicly traded companies. This very easy to read, yet comprehensive book is to the point, and will accomplish this goal. I was drawn to this book as a good, but not overbearing summary of the law, and it delivered on a scale of 5 out of 5. The prolific author provides appropriate historical background to put the seminal law into context. He then outlines key sections of the law. If you are looking for more comprehensive treatises, there are others but you could still benefit by starting with

this book. For more depth consider the author's own *The Sarbanes-Oxley Act: An Introduction* which I have also purchased simultaneously. In Chapter 7 the author discusses technology, after advising "SOXs makes little mention of technology"... and "is largely concerned with financial reporting". Maybe when revisions to regulations come they will recommend more use of technology including continuous monitoring with analytics implementations into the business process for lasting improvements in controls and efficiencies. Full disclosure: I am familiar with the author's work, I have co-presented with him and I wrote the Foreword for his book on Dodd-Frank, which is why I had high expectations of his books, and again he delivered on my expectations.

1 of 1 people found the following review helpful. Great book. By heather
This book is a little thinner than what I expected, but will be a great resource for me for years to come.

2 of 2 people found the following review helpful. Great read for getting your SOX essentials
By Niloufer A. Tamboly
This book is for someone who needs to get up to speed quickly without going through a lot of books. As a part of the Wiley Essentials Series it remains true to its name. It tries to clarify all the much asked and often answered questions on SOX. I found the chapter on SOX Compliance for small public companies and when using outsourcing to be very relevant as even though the process is outsourced the risks are retained and will have to be mitigated. Another very relevant topic of interest is the coverage provided towards achieving cost effective and sustainable compliance.

Sanjay Anand has used his years of experience as a Sarbanes - Oxley consultant and teacher to include only the most important and necessary sections in the books. If one needs to read everything and know everything about Sarbanes - Oxley this is probably not the book and I can't think of any other book which does it. I appreciate the book as it has used the perspective of a consultant, a manager, and an implementer of the SOX audit process. This book is definitely a must read and worth the time, effort and money. I give this book 5 stars on a scale of 5, 5 being the highest. I strongly recommend this book.

Niloufer Tamboly, CPA, CFE