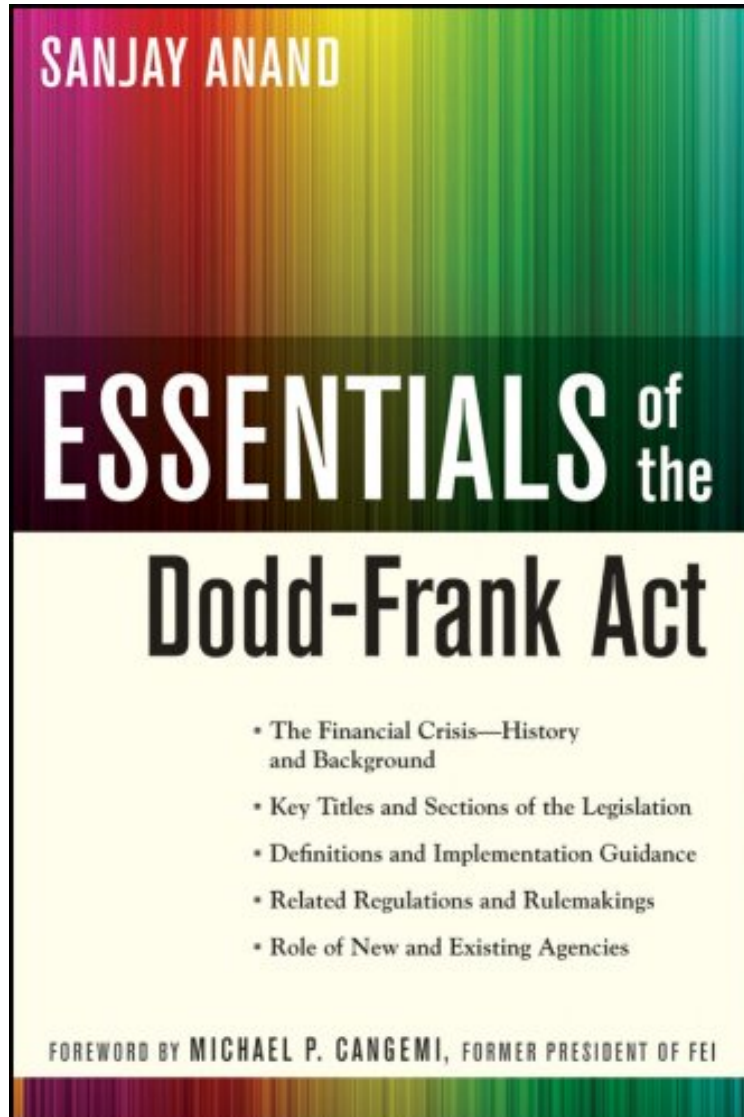


Essentials of the Dodd-Frank Act (Essentials Series)

Sanjay Anand

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Sanjay Anand : Essentials of the Dodd-Frank Act (Essentials Series) before purchasing it in order to gage whether or not it would be worth my time, and all praised Essentials of the Dodd-Frank Act (Essentials Series):

1 of 2 people found the following review helpful. Not enough detailsBy LOVThe original Dodd-Frank Act is rather long but isn't hard to read. And if one does not want to read the original, there are many free summaries available online. This one is a pretty short book and does not provide much details or any opinion, and perhaps that was the intention anyway. For those who prefer more details and more experts' comments/feedback, "Regulating Wall St." is a great one.0 of 1 people found the following review helpful. Another Book Review from The Aleph BlogBy David MerkelMy review of this book was shaped by its coverage of my own industry. I am an investment advisor, and a

small one. I learned far more from other sources regarding what I needed to do to comply with Dodd-Frank than this book did. If I had had only this book to help guide me in my regulatory work, I would have been sunk. Now, as I read through the book it struck me as being a perfunctory summary of the law, without a lot of insight. The structure of the book is this: Introduce the Act Explain the history and main goals Go through the Titles (main divisions) of the Act, and give brief explanations of the main points. Explain how various institutions are affected at a high level. Then talk about how the various studies that the Act demands will be done, and how regulatory rules will be created. How it affects all existing agencies, and the new agencies that are created by the Act. What impact it has globally (not much) How it affects various financial professions How it interacts with SOX and Basel (not much) I found the book to be weak, given what I know about my industry, and other financial industries. It read like someone went through the Act and excerpted it. Quibbles I have no quibbles, I only have objections. This book was put out too fast, and with too little thought. Who would benefit from this book: Better you should read the act; it is bad, but not that bad, as Washington goes. The act is long, so if you are looking for an easy introduction to the act this book could be helpful, but you could probably clip the highlights of the act yourself. It is only a question of the value of your time.

An executive overview of the new Financial Regulations Act This book provides an executive summary of the newly passed Financial Regulations Act. It examines the most important sections of the Act, how it impacts the financial industry, as well as what executives must know and do in order to comply with the Act. One of the first books to provide an executive summary from a compliance perspective Presents responsibilities of senior level executives regarding this new Act Reveals what has changed within the regulatory environment Provides tips and techniques throughout Describing the government regulation of securities, securities markets, and securities transactions in the United States, this timely book succinctly defines, describes, and explains domestic securities regulation for compliance officers, accountants, and broker-dealers.